



Michael Fugler to Moderate/Speak at REISA Webinar on Social Media Compliance for Financial Services

Perdido Key, FL / [PRBuzz](#) / July 6, 2011 - - Michael Fugler to Moderate and Speak at Real Estate Investment Securities Association (REISA) webinar on Social Media Compliance for Financial Services, July 13, 2011 at 1:30 PM ET, joined by presenters, Davis Janowski, Investment News, and Amy Sochard, FINRA (www.finra.org).

Webinar:

Social Media Compliance for Financial Services

Are you scared of social media and its consequences? Are you using social media properly? According to AdvisorOne, 40% of advisors are violating social media policy to drive their businesses.

Join REISA's next webinar on Wednesday, July 13 at 1:30 PM ET to learn more about social media compliance in the financial services industry.

<http://content.reisa.org>

Learn how to use social media with protection from enforcement actions, fines and reputational risks:

The importance of social media to the financial services industry

Regulatory Notice 10-06 emphasizing personal responsibility

Details on record retention of communications as required by Rules 17a-3 and 17a-4 under the Securities Exchange Act of 1934 and Rule 3110

Discover how your comments could trigger suitability issues

How to comply with the SEC and FINRA regulations impacted by social networks

Proper record keeping and communication regarding advertising

Mr. Fugler stated, "There is no hotter topic in the financial services community right now than social media and this webinar is going to lay it out for you."

Mr. Fugler further stated, "I hope the financial services community takes note of this very timely and important webinar, the level of knowledge and expertise on this panel just doesn't get any better. Amy Sochard is the voice of FINRA on these issues and Davis Janowski is 'the man' when it comes to technology information needs of financial advisers throughout the nation."

Michael Fugler, Investment Banker, Attorney at Law www.michaelfugler.com

Board of Directors, ASG Securities, Inc. www.asgib.com

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Mr. Fugler is a global expert and speaker on Longevity and Life Settlements and Entrepreneurship. He manages the strategic vision and direction for building Welcome Life as the global expert in longevity markets, offering institutional clients with trading, product development, capital raising and independent objective advice.

Mr. Fugler has been a licensed Attorney for 38 years developing an expertise in international law and finance, international investment and merchant banking. He has also been an Investment Banker for the past 16 of those years being FINRA registered with Series 7, 24, 63 and 79 licenses and establishing offices and providing extensive consulting and guidance to institutional investors throughout Europe and the USA.

Real Estate Investment Securities Association (REISA) <http://reisa.org/>

The Real Estate Investment Securities Association (REISA) is a national trade association serving professionals who offer and distribute all forms of real estate investment securities. Established in 2003, REISA promotes the highest ethical standards to its members as well as provides education and information to the entire real estate securities community.

REISA members include: registered representatives, registered investment advisors (RIAs), broker-dealers, sponsors, qualified intermediaries, lenders, attorneys, CPAs, mortgage brokers, consultants and other affiliated professionals.

InvestmentNews www.investmentnews.com

Since 1998, InvestmentNews has been delivering news and analysis essential to the business of financial advisers. Our weekly newspaper, which combines comprehensive news with accurate, independent reporting on the entire financial services industry, provides financial advisers with insight into the market unavailable in any other publication. Today, our readers have come to rely on InvestmentNews for up-to-date market information on the stories and events affecting their clients' investments, making us the number one source of news to the financial adviser community.

In addition to news, InvestmentNews organizes a number of webcasts and conferences throughout the year, bringing together our readers with key industry experts. Topics are industry-specific, developed specifically for financial advisers, and designed to help guide them with the best way to grow their practices. Our events are highly interactive and serve as great networking opportunities.

The InvestmentNews main office is located in New York, with bureaus in Boston, Irvine, Chicago, San Francisco and Washington, DC.

Davis Janowski, Investment News

For the last four years, Davis Janowski has served as the technology reporter for Investment News. In this position, he works to meet the technology information needs of financial advisers throughout the nation. His beat focuses on covering the key software and online services in the financial services sector, as well as the latest in computer and mobile hardware technology available for financial advisers. Prior to this, Janowski covered many facets of technology for the nation's leading computer publication, PC Magazine. In his last two years there, he served as lead analyst for web services and software and had the pleasure and daily challenge of keeping up with and reviewing the online services produced by Google, Microsoft, Yahoo! and many others. Prior to PC Magazine, he served as a medical editor and writer covering the field of epidemiology and infectious diseases where his work was disseminated to both a professional and lay audience.

The Financial Industry Regulatory Authority (FINRA) <http://www.finra.org/>

The largest independent regulator for all securities firms doing business in the United States. FINRA's mission is to protect America's investors by making sure the securities industry operates fairly and honestly. All told, FINRA oversees nearly 4,540 brokerage firms, about 163,675 branch offices and approximately 631,725 registered securities representatives.

FINRA touches virtually every aspect of the securities business--from registering and educating industry participants to examining securities firms; writing rules; enforcing those rules and the federal securities laws; informing and educating the investing public; providing trade reporting and other industry utilities; and administering the largest dispute resolution forum for investors and registered firms. We also perform market regulation under contract for the major U.S. stock markets, including the New York Stock Exchange, NYSE Arca, NYSE Amex, The NASDAQ Stock Market and the International Securities Exchange.

Amy Sochard, FINRA

Amy Sochard is Director of Programs and Investigations for FINRA's Advertising Regulation Department. She manages the Department's investigative, sweep and technology activities and serves as a liaison to other FINRA departments involved in these areas. Sochard also oversees staff dedicated to the routine review of FINRA firms' advertisements. She speaks frequently at industry conferences and helps develop new rules and interpretations with respect to firms' communications with the public. Prior to joining FINRA, she worked with a real estate syndication firm. She earned a bachelor's degree in English with distinction from the University of Virginia and studied poetry writing at Columbia University.



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